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Role of Spin Hall Effect in the Topological Side Surface Conduction

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3 KEYWORDS: Topological insulators, plasmon, phonon, terahertz, ultrafast
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10 ABSTRACT: The nature of spin transport in bulk and side surface of three-dimensional
11 topological insulator thin film geometry is a relatively unexplored subject, compared to the
12 extensively studied top and bottom surface states. Here we employ time- and space-resolved
13 helicity-dependent photocurrent measurements to investigate the effect of optically excited bulk
14 carriers on the spin-polarized topological side surface conduction. Time-resolved femtosecond
15 double-pulse excitation reveals that the spin current toward the side surface arises from the bulk-
16 originated spin Hall effect whose microscopic origin is governed by Elliott-Yafet type spin
17 relaxation mechanism via extrinsic side jump process. Bias- and temperature-dependent
18 measurements further confirm that the spin scattering in Bi₂Se₃ has multiple sources including
19 impurity and electron-phonon scattering. SHE-assisted side surface spin conduction shows
20 exceptionally large charge-to-spin conversion efficiency of 35% at 77 K, which may offer new
21 spintronic applications of topological insulators such as spin-orbit torque or spin-flip controlled
22 light-emitting devices.
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44 Spin-polarized electronic transport in three-dimensional (3D) topological insulators (TIs) sets
45 out many intriguing properties¹⁻⁷ due to characteristic spin-momentum locking of the topological
46 surface state (TSS)^{8,9}. The TSS with helical Dirac dispersion prevents elastic backscattering of
47 electrons at an angle of π ^{9,10}, resulting in a nanosecond-long spin-relaxation time. This heralds
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3 many potential applications including dissipation-less spin filters, low-power consumption
4 spintronics, and next-generation spin-orbit torque memory devices¹¹.
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8 To date, in particular for the thin film geometry, studies of the charge and spin transport of
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10 TIs have focused on the top and bottom surfaces. Having Z_2 topological order, however, a full
11
12 3D nature of TIs indicates the spin-polarized electronic transport is possible through the entire
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14 surface area. This implies the topological side surfaces can also carry the spin-momentum locked
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16 electrons, although their spin polarization dynamics are largely unexplored so far. Akin to the
17
18 conventional top-bulk-bottom surface coupling, an important question is the interaction kinetics
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20 of the side surfaces with the bulk states¹²⁻¹⁶. In particular, since most 3D TIs are unintentionally
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22 highly-doped materials with strong spin-orbit coupling, light helicity can induce spin-polarized
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24 current even in the bulk¹⁷. The associated study of its transport mechanism to the side surface,
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26 for example by spin Hall effect (SHE)¹⁵ may provide an alternative view on the bulk-surface
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28 interactions in 3D TIs as well as toward practical device applications. Compared to the top and
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30 bottom surfaces, the side surface conduction also enables to study the bulk-surface spin coupling;
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32 this feature is entirely different from the conventional top and bottom surfaces dynamics, as
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34 discussed further below.
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40 When spin-polarized electrons from the bulk states reach the side surface, further spin
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42 transport along the surface is governed by both the topological spin-momentum locking and the
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44 nature of spin-relaxation at the surface with broken inversion symmetry. Recent theories predict
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46 that a high concentration of impurities, in particular at the side surfaces, renders TIs to exhibit a
47
48 long spin-relaxation time via D'yakonov-Perel (DP) mechanism¹⁷. Based on this fact, the
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50 accumulated spin-polarized electrons and the associated photoconductance enhancement can be
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52 observed in TI edges, which emerges from the bulk-originated SHE of electrons and the high
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3 impurity concentration in TI surfaces¹⁸. Although such SHE can be identified via measuring the
4 light-helicity-dependent local photocurrent, microscopic origins of the time-dependent dynamics
5 and the associated spin relaxation mechanism during SHE have not been identified.
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10 In this Letter, we present time-resolved helicity-dependent photocurrent correlation (tr-
11 HDPC) measurements in the Bi₂Se₃ 3D TI devices. The time- and space-resolved photocurrent
12 measurements and the analysis of helicity-dependent relaxation times verify the qualitative
13 picture suggested by Ref. 18 that HDPC indeed occurs at the side surface assisted by the bulk-
14 originated SHE. As a key finding of the present experiment, we further show that the bias and
15 temperature dependent spin relaxation time τ_s of the SHE-assisted transverse spin current is
16 consistent with the spin relaxation process during the SHE-assisted spin accumulation; our
17 lifetime analysis provides strong experimental evidences of the dominant Elliott-Yafet (EY) type
18 mechanism. Moreover, we find that the emerged bulk SHE is governed by the side jump
19 mechanism among other extrinsic processes such as skew scattering. For the application of
20 harnessing the side surface as an efficient spin-polarized conducting channel, we show that the
21 spin-polarized electrons emerged from the bulk SHE exhibit an exceptionally large charge-to-
22 spin conversion ratio, reaching as large as 35% at 77K.
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40 We study the 3D Bi₂Se₃ TI devices fabricated from a single crystal of Bi₂Se₃ grown using a
41 melting method. The stoichiometric mixture of Bi and Se was heated at 850 °C for 48 hours, and
42 then cooled to 500 °C with 2 °C/h of cooling rate. After additional 5 days of post-annealing at
43 500 °C, the topological surface state of the Bi₂Se₃ crystal was confirmed by the angle-resolved
44 photoemission spectroscopy as shown in Ref. 19. A mechanically exfoliated Bi₂Se₃ flake (typical
45 thickness of 50 nm) was transferred onto a 300 nm SiO₂/Si substrate. Contact electrodes across a
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3 5 μm long channel were deposited by a thermal evaporator (Ti: Au = 5 nm: 120 nm) after
4 photoresist patterning using a standard photolithography. A complete device is then annealed at
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6 90°C for 1 hour, and stored in high vacuum ($\sim 1 \times 10^{-5}$ torr) for 1 day to improve the contact
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8 characteristics. Two-terminal electronic transport measurement shows Ohmic I-V characteristics
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10 with two terminal resistance of 120 Ω , a typical value for highly doped bulk conducting Bi_2Se_3
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12 TI devices⁷.
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18 For the tr- HDPC experiments, two ultrashort near-infrared (1.55 eV) pulses (~ 50 fs pulse
19 width) were delivered from a 250 kHz Ti:sapphire regenerative amplifier (RegA 9050, Coherent
20 Co.). An optical microscope with x50 objective lens (MPLFLN50x, Olympus Co.) was used to
21 focus the two pulses ($\sim 2 \mu\text{m}$ spot size) onto the Bi_2Se_3 TI devices. The sample was mounted in a
22 LN-cooled optical cryostat onto a motorized xy-translation stage (960-0070-03LS, 980-0942,
23 EK SMA optics Co.). All measurements were performed with the plane of incidence carefully
24 adjusted to be normal to the top surface so that our tr-HDPC measurements reflect the bulk
25 originated photoresponse only.
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37 Figure 1(a) shows the schematic illustration of our tr-HDPC measurements and the bulk
38 SHE-assisted spin-polarized photocurrent along the side surface. In this geometry, normal
39 incidence of light to the top and bottom surface guarantees that light helicity does not affect the
40 in-plane spin polarization of the top and bottom surfaces³. Due to high doping, however, the bulk
41 conduction band in TIs, in particular close to the top surface, exhibits a strongly anisotropic spin-
42 orbit splitting as well as band bending-induced broken inversion symmetry. The circularly
43 polarized light excites the out-of-plane spin-polarized carriers in the bulk¹⁷. The spin orientation
44 of photogenerated electrons is determined by the electric field (or momentum) -dependent SHE
45 that results in the transverse spin-polarized current. When the excited laser spot is close enough
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3 to the side edge of lateral TI surface, the spin-polarized electrons with proper spin orientation
4 heading to nearby side surface by SHE survive and accumulate, resulting in the increased
5 photoconductance at the side surfaces¹⁸ which is due to the spin momentum locking as well as
6 because of the compatible spin orientation of the photogenerated carriers. In this manner, the side
7 surface acts as an efficient spin-current read-out channel.
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14 Figure 1(b) shows a typical single-pulse time-integrated photocurrent map performed using
15 a piezo scanning mirror (GVS012, Thorlabs Co.) with unpolarized light. By positioning the
16 longitudinal laser at $y = 0 \mu\text{m}$ along the Bi_2Se_3 channel, we experimentally exclude the
17 photothermoelectric current contribution (see the line cut of photocurrent in the Fig. 1(b), bottom
18 panel). Figures 1(c) and 1(d) compare the helicity-dependent photocurrent data measured near
19 the right, center, and left edge of TI surface when the transverse laser position is $x = 4, 0,$ and -4
20 μm , respectively, with opposite polarity of the source-drain bias voltage V_{sd} . For measurement at
21 $x = 4$ and $-4 \mu\text{m}$, the laser spot was $1 \mu\text{m}$ away from the TI channel edges. The solid curves are
22 fits to the measured traces using the following helicity-dependent photocurrent model^{3,5}:
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$$I(\theta) = C \sin(2\theta) + L_1 \sin(4\theta) + L_2 \cos(4\theta) + D. \quad (1)$$

36 Here, C is the photocurrent contribution associated with the circularly polarized light with the
37 period of π . L_1 and L_2 are the contributions related to the linearly polarized light with the period
38 of $\pi/2$ described by the linear photogalvanic and the photon drag effect, respectively^{3,5}, and D is
39 the helicity-independent photocurrent component. Compared to the helicity dependence at $x = 0$
40 μm with dominant period of $\pi/2$, we see that the oscillatory photocurrent at both $x = 4$ and $-4 \mu\text{m}$
41 are dominated by the C component associated with the spin-polarized electrons (dominant period
42 of π), although the incident light wavevector is perpendicular to the x - y plane of the Bi_2Se_3 TI
43 channel. Sign reversal of the C component is observed when the polarity of V_{sd} is reversed
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3 (compare Figs. 1(c) and 1(d)) as well as when the laser excites on the opposite edge (top and
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5 bottom panel in Figs. 1(c) and 1(d)). We also note that the significant HDPC is observed only at
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7 non-zero V_{sd} even at the edges, indicating that both the bias-driven current direction and
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9 magnitude plays a crucial role in elucidating the physical origin of HDPC.
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12 Consistent with the recent observation in Ref. 18 and the qualitative picture given above, we
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14 interpret the enhancement of C at the edges to occur in the topological side surface of the Bi_2Se_3
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16 assisted by the bulk-generated spin polarization accumulated to the edges via SHE. Specifically,
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18 the right side panels in Figs. 1(c) and 1(d) show the schematic illustrations of the relationship
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20 between SHE and the side-surface conduction direction. In this interpretation, the spin
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22 accumulation induced by the bias-driven current density (black dashed lines) is governed by the
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24 out-of-plane spin polarization at the left and right edge of the lateral surface (blue and red
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26 arrows). We expect in principle that the spin dynamics is governed by the following two effects:
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28 (i) relatively fast spin relaxation during a bulk to side surface transport via SHE and (ii)
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30 relatively slow spin relaxation at the side surface. However, the previous study demonstrated that
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32 the spin-polarized transport time at the side surface is on the order of a few hundreds of ps due to
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34 the spin-momentum locking and the DP mechanism¹⁸. Thus in this study, we focus on the short
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36 time scale (up to 10 ps), neglecting the effect of spin relaxation at the side surfaces, and thereby
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38 we focus on the dynamics during the bulk spin transport.
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45 We first verify that the enhanced photocurrent at the edges indeed originates from spin-
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47 polarized carriers excited from the bulk. Normal incidence geometry ensures that the observed
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49 photocurrent is associated with the bulk-carrier generation; firstly because the carriers can be
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51 coupled to spin polarization of the top or bottom surface only when the light is injected in an
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53 oblique plane-of-incidence and secondly due to the fact that the direct excitation from the side
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3 surface can be neglected due to the small optical cross section¹⁸. Figure 2(a) shows the laser
4 power P dependent photocurrent, measured at $x = 4 \mu\text{m}$ with linearly (black) and circularly (red)
5 polarized light when $V_{\text{sd}} = 0 \text{ V}$. The single-pulse photocurrent reverses the sign with increasing P
6 and exhibits a super-linear behavior regardless of the incident laser polarization. This
7 characteristic sign reversal indicates that the lifetime-limited photoconductance in the bulk and
8 surface states saturates, and the photoconductance is dominated by the bolometric
9 photoconductance when $P > 10 \mu\text{W}$. The bolometric photoconductance stems from the optical
10 heating of phonon bath in heavily doped 3D TI, where the bulk states occupy a larger portion of
11 the phonon bath than the surface; the photocurrent response in a high power regime denotes the
12 dominant contribution of the bulk channel to the photocurrent. Using two-pulse excitation,
13 whose inter-pulse delay is separated by a time Δt , we show in Fig. 2(b) the result of time-
14 resolved photocurrent correlation (TRPC) measurement. The data exhibits a peak at time zero (Δt
15 = 0), consistent with the super-linear characteristics observed in Fig. 1(a). The fast relaxation
16 time of 1.83 ps obtained from an exponential fit strongly supports that the main contribution to
17 the initial photocurrent relaxation is the bulk carriers^{20,21}.

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38 To further examine the dynamic behavior of the bulk-induced SHE, we measured tr-HDPC
39 at the edges. Figure 2(c) is the tr-HDPC data as a function of two-pulse delay Δt with varying
40 probe-pulse helicity while the pump is the right-handed circularly polarized light. Distinct
41 helicity dependence was observed. The photocurrent magnitude is maximum (minimum) when
42 the helicity of each pulse is identical (opposite), while the decaying dynamics is fast only when
43 the helicity of two pulses is the same. Taking the HDPC result at a fixed Δt , for example at $\Delta t =$
44 0, 2, 8 ps (Fig. 2(d)), we plot in Fig. 2(e) the Δt -dependent C , L_1 , L_2 , and D components obtained
45 from fits to the HDPC results using Eq.(1). The components C and D show similar decaying
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characteristics, which further corroborate that the topological side surface photocurrent originates from the bulk SHE-induced spin-polarized current. Clearly, L_1 and L_2 do not show such a time-dependent behavior. Therefore, we can attribute C and D components to the spin and momentum dynamics of the photogenerated bulk carriers, respectively. The measured relaxation time scale of C , together with the similar transient of D , reflects that it is the spin and momentum relaxation time of the SHE-driven spin-polarized carriers as they head toward the TI side surface.

Investigating the relationship between spin vs. momentum relaxation time (τ_s and τ_m , respectively) provides a crucial information on the spin relaxation mechanism. In metals and doped semiconductors, the spin relaxation is mostly governed by EY (DP) mechanism, which possesses a characteristic spin vs. momentum scaling as $\tau_s \propto \tau_m$ ($\tau_s \propto l/\tau_m$)^{22,23}. To correlate between τ_s and the experimentally measured time constants, we define the relaxation time constants of C and D as τ_C and τ_D , respectively, and perform a series of V_{sd} -dependent tr-HDPC measurements (see for example Fig. 3(a)). The photocurrent associated with the parameter C consists of both momentum relaxation at the bulk state extraneous to the spin and the spin relaxation of the SHE-induced spin current. Using Matthiessen's rule, this consideration can be applied to describe the relationship as

$$\tau_s = \frac{\tau_m \tau_C}{\tau_m - \tau_C}, \quad (2)$$

where we assume τ_D only reflects the momentum relaxation so that $\tau_D = \tau_m$. Figure 3(b) shows the plot of τ_s vs. τ_m with different V_{sd} . The data clearly show a positive correlation. We note that the exact proportionality is difficult to be determined in the present experiment since the proportionality between the two time constants can vary as a function of V_{sd} . Moreover, the linear relationship may not necessarily hold if multiple spin-scattering sources of EY mechanism are

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3 present (e.g. impurities of different species, phonons, etc.). However, the positive correlation
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5 should be satisfied even in this scenario, so that the observed proportional relationship between τ_s
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7 and τ_m suggests that the spin relaxation during SHE is dominated by the EY mechanism. Below,
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10 by showing similar analysis as a function of T , we further show that multiple spin-scattering
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12 sources are indeed present in the Bi₂Se₃ TI.
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14 Spin Hall effect in semiconductors is known to be dominated by various scattering
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16 mechanisms such as skew scattering or side jump process. While both mechanisms have an
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18 impurity scattering as a common origin, the Boltzmann transport theory suggests that the side
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20 jump process is responsible if the spin transport lifetime, represented by $\tau_C(V_{sd})$ in our
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22 experiment, is independent of spin-Hall conductance $G_{SH} = I_{ph,C}/V_{sd}$ ¹⁵. Figure 3(c) shows that
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24 G_{SH} is indeed independent of τ_C which suggests that the side jump process is the origin of the
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26 SHE-induced spin-polarized current. Meanwhile, V_{sd} also controls the amount of charge injection
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28 to the channel so that the relative ratio between the measured spin-dependent and the spin-
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30 independent photocurrent $I_{ph,C/D}$ can be interpreted as a charge-to-spin conversion efficiency²⁴⁻²⁶.
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32 The measured efficiency is exceptionally large, reaching above 35% (Fig. 3(c), inset), which is
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34 the largest value compared to 33% in a best-known tungsten thin films on CoFeB²⁵. We also note
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36 that this efficiency does not degrade even at high V_{sd} , showing that the Bi₂Se₃ SHE-assisted side-
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38 surface channel can support a large magnitude of spin-polarized current. Further experimental
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40 investigations such as spin-orbit torque measurements using a ferromagnetic metal may be
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42 necessary to understand the extraordinarily large charge-to-spin conversion efficiency in the bulk
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44 TI states.
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51 Having confirmed the leading role of EY mechanism and side jump process to the spin
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53 relaxation during SHE, we now show that the spin transport in the TI bulk contains multiple EY
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3 scattering sources by performing T - and fluence-dependent tr-HDPC measurements; for
4 example, see in Fig. 4(a) for the T -dependent tr-HDPC. Similar to the V_{sd} -dependent analysis, we
5 identify the EY spin relaxation-dominated mechanism, which is followed by the observed
6 positive and highly nonlinear correlation between τ_s vs. τ_m as shown in Fig. 4(b). Considering the
7 fact that standard EY mechanism can be expressed by a linear relationship between τ_s and τ_m with
8 impurity scattering regime, this feature suggests that the scattering source other than impurity
9 also plays a significant role. In this experiment, increasing T can affect either hot-electron
10 generation or lattice heating. To resolve this issue, we perform the pump-fluence F dependent tr-
11 HDPC measurements with a fixed V_{sd} of 0.4 V. As shown in Fig. 4(c), τ_s does not vary
12 significantly with F . Based on the observation, we rule out the hot-electron generation as the
13 primary factor in the T -dependent spin transport dynamics. Rather, prior investigations in the
14 Bi₂Se₃ thin films have shown that a strong intrinsic electron-phonon deformation potential is in
15 the order of 20 eV, which poses a limit on the electronic mobility at finite T ²⁷; based on which,
16 we attribute the electron-phonon scattering to the main source of the additional EY scattering.
17 Thus, based on the observed V_{sd} and T dependence, we conclude that SHE in our doped Bi₂Se₃
18 device is governed by EY relaxation mechanism with electron-acoustic phonon and impurity
19 scattering as the main spin-scattering sources.
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42 In conclusion, a series of tr-HDPC measurements near the edge of the 3D TI geometry show
43 that the spin-polarized side surface conduction stems from the bulk-generated SHE, during
44 which EY mechanism and side jump process dominates the spin relaxation. We also show that
45 the main spin-scattering sources of EY mechanism are the impurity and electron-phonon
46 scatterings. Since the measured charge-to-spin conversion efficiency of 35 % is extremely large,
47 we expect that our results are important in seeking an efficient route to further engineer the bulk-
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surface spin coupling and the spin-polarized photocurrent enhancement, which may provide a second look toward new TI-based spintronic applications.

FIGURES

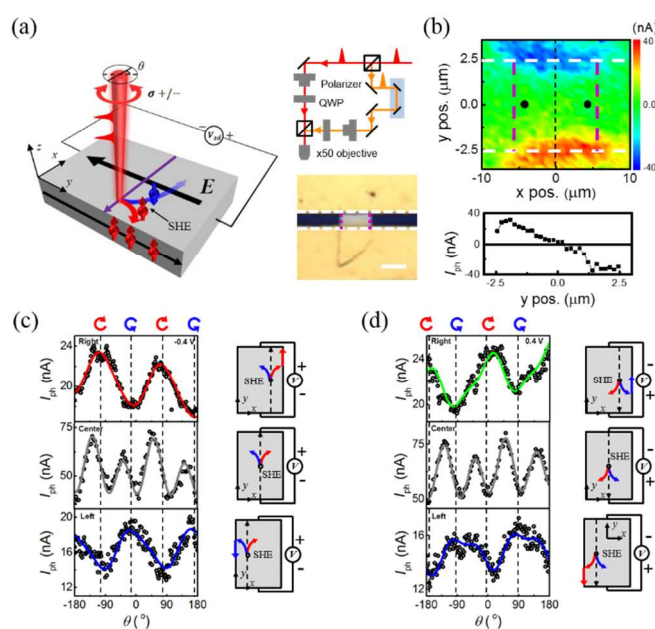


Figure 1. (a) An overview of the experiment. Main panel: Schematic illustration of the spin-polarized photocurrent signal generation. External field E from the bias voltage V_{sd} generates the spin current (purple arrow) due to the spin Hall effect (SHE). Right top panel: Schematic diagram for the time-resolved photocurrent measurements. The femtosecond laser is split and combined via a non-polarizing beam splitter. A mechanical delay stage is placed to control the two-pulse delay Δt for the time-resolved measurement. Right bottom panel: Optical image of the Bi_2Se_3 two-terminal device. The scale bar is 10 μm . (b) A typical two-dimensional (2D) photocurrent map is shown when $V_{sd} = 0$ V. Black dots on the contour plot indicate the photocurrent transverse position at $x = 4$ and -4 μm . Bottom panel: Line-cut data of the photocurrent

distribution along the y-axis. (c-d) Photocurrent I_{ph} with $V_{\text{sd}} = -0.4$ V (c) and $+0.4$ V (d) as a function of a quarter wave plate angle θ . In Figs.(c) and (d), top, middle, and bottom panel correspond to the data measured for the transverse beam spot position at $x = 4, 0,$ and -4 μm , respectively. The corresponding schematics are shown on the right side of the data. Solid curves are fits to the data using Eq. (1).

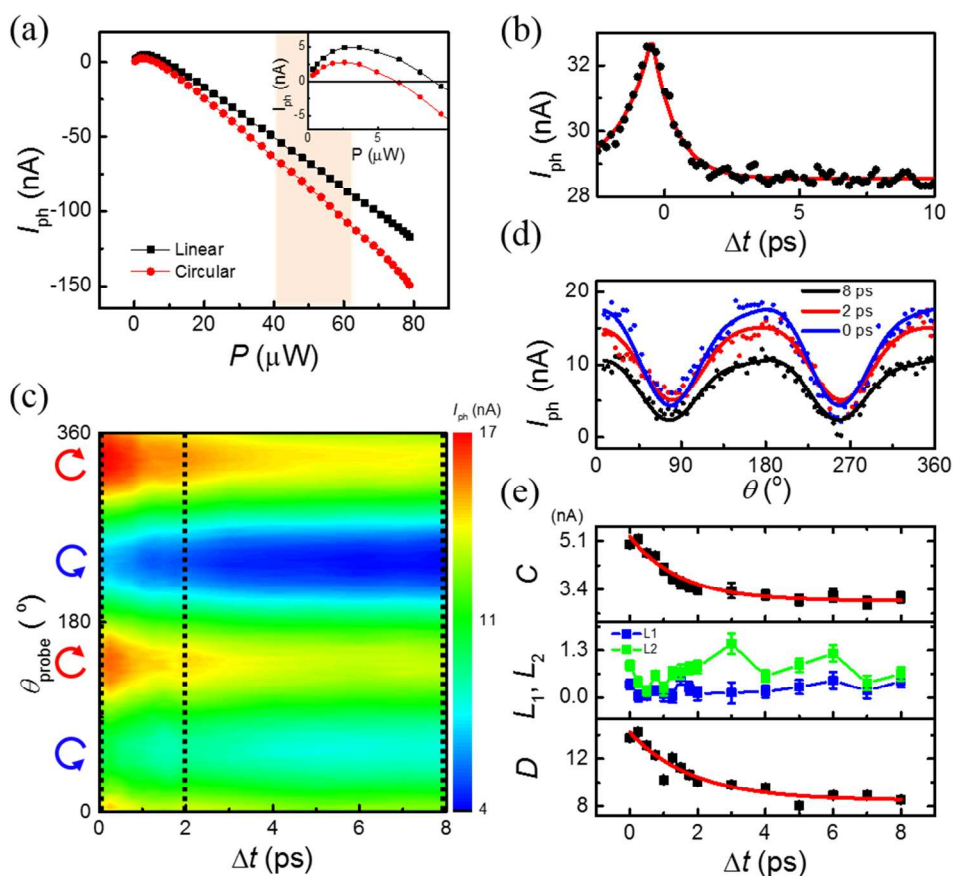


Figure 2. (a) Laser power P dependent I_{ph} at $x = 0$ μm with linearly (black) and circularly (red) polarized light when $V_{\text{sd}} = 0$ V. Shaded area denotes the specific range of power used in the experiment. Inset: A zoom-in view for $P < 10$ μW . (b) Time-resolved photocurrent trace is shown when temperature $T = 77$ K and $V_{\text{sd}} = 0.4$ V. The pump is linearly polarized (30 μW) and the probe is circularly polarized (30 μW). The Solid curve is an exponential fit with a best fitting

parameter of the relaxation time of 1.83 ps. (c) I_{ph} as a function of θ and Δt . The measurement conditions are: $T = 77$ K, $V_{\text{sd}} = 0.3$ V. (d) Line-cut of (c) at $\Delta t = 0, 2,$ and 8 ps. (e) Time-dependent photocurrent component $C, L_1, L_2,$ and D extracted from Eq. (1) is shown as a function of Δt . Note that we observe a similar relaxation time for C and D .

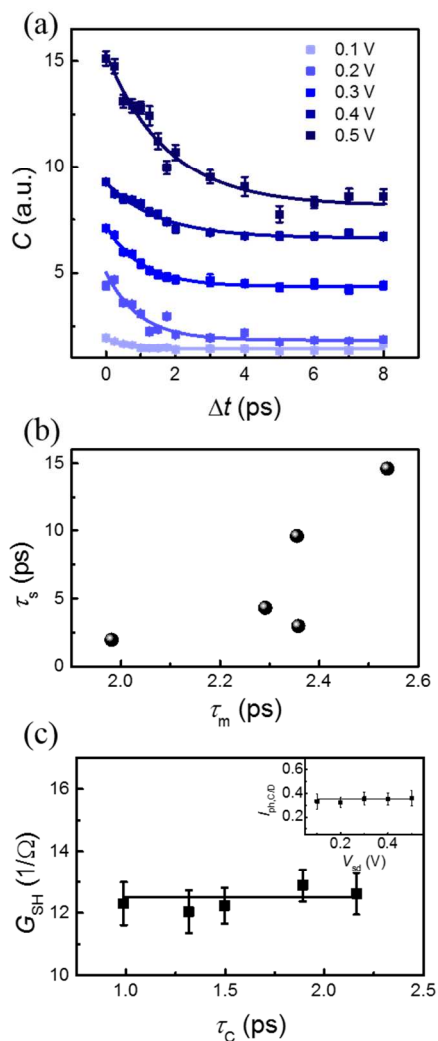


Figure 3. (a) Helicity-dependent photocurrent component C as a function of Δt in the range of V_{sd} from 0 to 0.5 V (from bottom to top curves). (b) The spin relaxation time τ_s was calculated using Eq. (2), and the data are plotted as a function of the momentum relaxation time τ_m . (c) Spin

Hall conductance G_{SH} is shown as a function of the helicity-dependent relaxation time τ_C . Inset:
Charge-to-spin conversion efficiency $I_{ph,C/D}$ vs. V_{sd} .

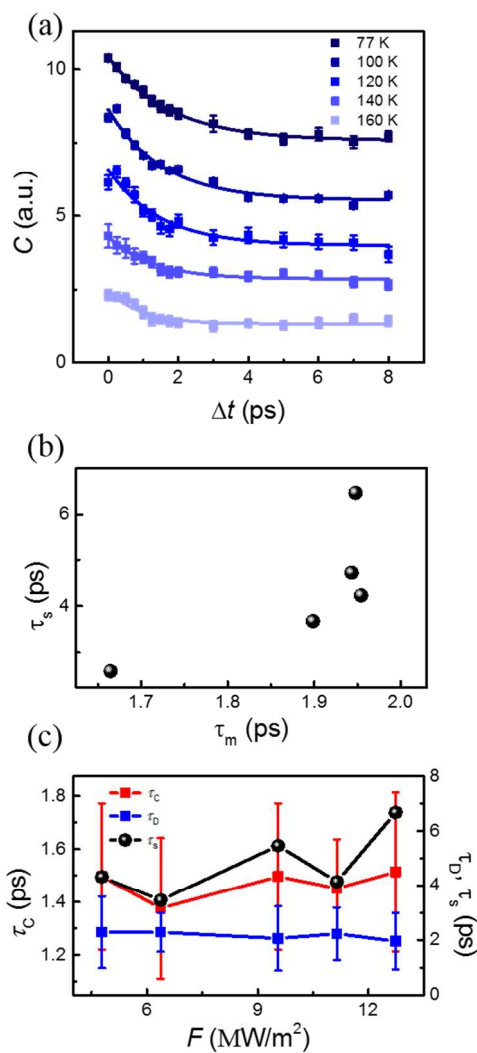
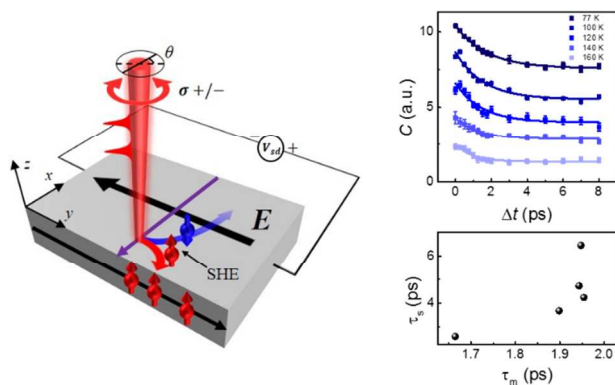


Figure 4. (a) Helicity-dependent photocurrent component C is shown as a function of Δt for several lattice temperature T . (b) Calculated τ_s using Eq. (2) is shown with respect to τ_m . (c)

Helicity dependent τ_C , helicity-independent τ_D , and τ_S are plotted as a function of the pump fluence F .

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ASSOCIATED CONTENT

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The authors declare no competing financial interest.

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